

1<sup>st</sup> June, 2020

BSE Limited  
Department of Corporate Services  
Phiroze Jeejeebhoy Towers  
Dalal Street  
Mumbai 400 001

National Stock Exchange of India Limited  
Listing Department  
Exchange Plaza  
Bandra-Kurla Complex  
Bandra (East), Mumbai 400 051

Dear Sirs,

Sub: Compliance of Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

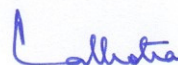
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Pursuant to Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we enclose herewith copy of Certificate dated 1<sup>st</sup> June, 2020 received from M/s. N. L. Bhatia & Associates, Company Secretaries, confirming timely dispatch of share certificates received by the Company for transfer / transmission / split / renewal, during the period between 1<sup>st</sup> October, 2019 and 31<sup>st</sup> March, 2020.

2. The said Compliance Certificate shall be placed before the Directors for their information at the next Board Meeting of the Company.

Thanking you,

Yours faithfully,  
VOLTAS LIMITED



V. P. Malhotra  
Vice President – Taxation,  
Legal & Company Secretary

Enc.

**VOLTAS LIMITED**

Corporate Management Office

Registered Office Voltas House 'A' Dr Babasaheb Ambedkar Road Chinchpokli Mumbai 400 033 India  
Tel 91 22 66656251 66656258 Fax 91 22 66656311 e-mail vpmalhotra@voltas.com website www.voltas.com

Corporate Identity Number L29308MH1954PLC009371

A **TATA** Enterprise



**N L BHATIA & ASSOCIATES**  
**PRACTISING COMPANY SECRETARIES**

Tel. : 91-022-2510 0718  
Tel. : 91-022-2510 0698  
E-mail : navnitlb@hotmail.com  
brupadhyay@hotmail.com  
Website : www.nlba.in

**CERTIFICATE**

This certificate is issued pursuant to Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 pursuant to uniform Listing Agreement of BSE Limited and National Stock Exchange of India Limited.

The Securities and Exchange Board of India vide gazette notification dated June 8, 2018 and its press release on December 3, 2018 amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of securities would be carried out in dematerialized form only w.e.f. April 1, 2019. Further SEBI vide its press release dated March 27, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of April 1, 2019.

We have examined all relevant records of Voltas Limited, maintained and provided electronically by its Registrar and Share Transfer Agent, TSR Darashaw Consultants Private Limited, 6-10, Haji Moosa Patrawala Industrial Estate, 20, Dr. E. Moses Road, Near Famous Studio, Mahalaxmi, Mumbai 400 011 and on the basis of such examination, checks carried out by us and explanation given to us, we hereby certify that during the period from October 1, 2019 to March 31, 2020, all certificates of equity shares have been issued within 30 days from the date of lodgments with the Company for transfer / transmission / split / renewal. Physical verification of documents could not be done due to lock down on account of Covid-19 situation.

We further certify that, during half year ended March 31, 2020, the Company has delivered share certificates in respect of transfer of shares only relating to the transfer deeds lodged prior to October 1, 2019 and re-lodged thereafter rectifying the deficiency, as entered in the Memorandum of Transfers within thirty days from the respective date of lodgment/re-lodgment of each deed excepting those rejected on technical grounds.

**For N. L. Bhatia & Associates**  
**Practising Company Secretaries**  
**UIN: P1996MH055800**  
**UDIN: F001176B000307671**

**Place: Mumbai**  
**Date: 01<sup>st</sup> June, 2020**



*Navnit Lal Bhatia*

**N. L. Bhatia**  
**Partner**  
**FCS No. 1176**  
**COP No. 422**