

CORPORATE GOVERNANCE REPORT

In accordance with Schedule V of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and some of the best practices followed internationally on Corporate Governance, the report containing the details of corporate governance systems and processes at Nath Bio-Genes (India) Limited is as under:

1. COMPANY'S PHILOSOPHY ON CORPORATE GOVERNANCE.

Effective corporate governance practices constitute the strong foundation on which successful commercial enterprises are built to last. The Company's philosophy on corporate governance oversees business strategies and ensures fiscal accountability, ethical corporate behavior and fairness to all stakeholders comprising regulators, employees, customers, vendors, investors and the society at large.

The Company has a strong legacy of fair, transparent and ethical governance practices.

The Company believes that Corporate Governance is about best practices of business to be imbibed into the culture of the organization and complying with value systems, ethical business practices, laws and regulations to achieve the main objectives of the Company.

The Company is committed to optimizing long term value for its stakeholders with a strong emphasis on the transparency of its operations and instilling pride of association. The Company follows the best practices of Corporate Governance and reporting systems in accordance with SEBI (Listing Obligations and disclosure Requirements) Regulations, 2015.

2. BOARD OF DIRECTORS.

As on 31st March 2021 the Company has Seven Directors comprising of two Executive Director one women director and Four Non-Executive Independent director including one women Independent Director. The composition of the Board as on 31st March 2021 is in conformity with Listing Regulations 2015 having specified combination of Executive and Non-Executive Directors.

Independent Directors are non-executive directors as defined under Regulation 16(1)(b) of the SEBI (Listing Obligations and disclosure Requirements) Regulations 2015 and Section 149(6) of the Companies Act 2013. The maximum tenure of independent directors is in compliance with the Companies Act. All the independent Directors have confirmed that they meet the criteria of independence as mentioned under Regulation 16(1) (b) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations 2015 and Section 149(6) of the Companies Act 2013.

The Company is in compliance with the requirements stipulated under Regulations 17 to 27 read with Schedule V and clauses (b) to (i) of sub-regulation (2) of Regulation 46 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 as applicable with regard to Corporate Governance as detailed below:

3. NUMBER OF BOARD MEETINGS.

Four meeting of the Board were held during the year 2020-2021. The dates on which the Board meetings were held are 15th June 2020, 07th August 2020, 07th November 2020, 10th February 2021.

| Sr. No. | Date of Meeting/ Name of Director/ Attended Board Meeting YES/ NO | 15 th June 2020 | 7 th August 2020 | 7 th November 2020 | 10 th February 2021 |
|---------|---|-------------------------------|--------------------------------|----------------------------------|-----------------------------------|
| 1. | Mr. Satish Kagliwal | YES | YES | YES | YES |
| 2. | Mrs. Sweta Kagliwal | YES | YES | YES | YES |
| 3. | Mr. Shrirang Agrawal | YES | YES | YES | YES |
| 4. | Mr. Kashinath Iyer (Resign on 07/11/2020) | YES | YES | YES | NA |
| 5. | Late Mr. Omprakash Sharma (Demise on 12/11/2020) | YES | YES | YES | NA |
| 6. | Mrs. Jeevanlata Kagliwal | YES | YES | YES | YES |
| 7. | Mr. Ashu Jain | NA | NA | YES | YES |
| 8. | Mr. Vadla Nagabhushanam | NA | NA | NA | YES |
| 9. | Mr. Madhukar Deshpande | NA | NA | NA | NA |

4. COMPOSITION AND STATUS OF BOARD OF DIRECTORS.

a. The composition of the Board of Directors and also the number of other Board of Directors or Board Committees of which he/she is a Member/Chairman are as under: -

| Name of the Director | Category | No of Directorship in Public Companies as on 31 st March 2021 | | Committee Membership/ Chairmanship | | No of Board Meeting Attended this year | Attendance of Last AGM on 24 th July 2020 |
|----------------------------|--|--|--------|--|--------------|--|--|
| | | Listed | Public | Membership | Chairmanship | | |
| Mr. Satish Kagliwal | Chairman Managing Director -Executive | 3 | 3 | 7 | 0 | 4 | Yes |
| Mrs. Sweta Kagliwal | Promoter Non-Executive | 3 | 5 | 0 | 0 | 4 | Yes |
| Mr. Shrirang Agrawal | Independent Non-Executive | 4 | 4 | 6 | 5 | 4 | Yes |
| Mrs. Jeevanlata Kagliwal | Executive Director | 4 | 3 | 3 | 0 | 4 | Yes |
| Mr. Vadla Nagabhushanam | Independent Non-Executive | 3 | 0 | 4 | 3 | 1 | NA |
| Mr. Madhukar Deshpande | Independent Non-Executive | 3 | 0 | 3 | 5 | 1 | NA |
| Ms. Ashu jain | Independent Non-Executive | 1 | 0 | 0 | 0 | 2 | NA |

b. Table showing details of Directors Serving Directorship in other Listed Companies As on 31st March 2021.

| Sr. No. | Name of the Director | Name of Listed Company |
|---------|---------------------------------|--|
| 1. | Mr. Satish Kagliwal | 1. Nath Bio-Genes (India) Limited 2. Agri-Tech (India) Limited 3. Techindia Nirman Limited |
| 2. | Mrs. Sweta Kagliwal | 1. Nath Bio-Genes (India) Limited 2. Agri-Tech (India) Limited 3. Techindia Nirman Limited |
| 3. | Mr. Shrirang Shrikishan Agrawal | 1. Nath Bio-Genes (India) Limited 2. Agri-Tech (India) Limited 3. Techindia Nirman Limited |
| 4. | Mr. Vadla Nagabhushanam | 1. Nath Bio-Genes (India) Limited 2. Agri-Tech (India) Limited 3. Techindia Nirman Limited |
| 5. | Mr. Madhukar Deshpande | 1. Nath Bio-Genes (India) Limited 2. Agri-Tech (India) Limited 3. Techindia Nirman Limited |
| 6. | Mrs. Jeevanlata Kagliwal | 1. Nath Bio-Genes (India) Limited 2. Agri-Tech (India) Limited 3. Techindia Nirman Limited 4. Nath Industries Limited |
| 7. | Ms. Ashu Jain | 1. Nath Bio-Genes (India) Limited |



5.DETAILS OF EQUITY SHARES HELD BY DIRECTORS.

| Name of the Director | Category | No of Shares Held | % of Number of Shares Held |
|--|------------------------|-------------------|----------------------------|
| Mr. Satish Kagliwal | Managing Director | 0 | 0 |
| Mr. Shrirang Agrawal | Independent Director | 0 | 0 |
| Mr. Kashinath Iyer (Resign on 07th November 2020) | Independent Director | 0 | 0 |
| Late Mr. Omprakash Sharma (Demise on 12th November 2020) | Independent Director | 0 | 0 |
| Mrs. Sweta Kagliwal | Non-Executive Director | 0 | 0 |
| Mrs. Jeevanlata Kagliwal | Executive Director | 293 | 0.001 |
| Ms Ashu Jain (Appointed on 01st October 2020) | Independent Director | 0 | 0 |
| Mr. Vadla Nagabhushanam (Appointed on 07th November 2020) | Independent Director | 0 | 0 |
| Mr. Madhukar Deshpande (Appointed on 10th February 2021) | Independent Director | 0 | 0 |

6.SEPARATE MEETING OF INDEPENDENT DIRECTOR

Independent Directors of the Company met separately on February 10, 2021 without the presence of Non-Independent Directors and Members of Management. In accordance with Schedule IV of Companies Act, 2013, following matters were, inter-alia, reviewed and discussed in the meeting:

- Performance of Non-Independent Directors and the Board of Directors as a whole.
- Performance of the Chairman of the Company taking into consideration the views of Executive and Non-Executive Directors.
- Assess the quality, quantity and timeliness of flow of information between the Company Management and the Board that is necessary for the Board to effectively and reasonably Perform their duties.

7.CODE OF CONDUCT.

The company has formulated and adopted a code of business conduct and ethics to guide our transactions with our colleagues, communities, customers, governments, investors, regulators and society. Requisite annual affirmations of compliance with the respective code have been made by the directors and the management of the company; including a declaration signed by the Director of the company regarding Compliance of the Code of Business Conduct.

8.COMMITTEE OF THE BOARD.

The board has Four Committees as on 31st March 2021 namely, Audit Committee, Stakeholders Relationship Committee, Nomination and Remuneration Committee and Corporate Social Responsibility Committee the board constitute additional functional committees, from time to time, depending upon business needs.

A. Audit Committee

The management is responsible for the Company's internal controls and the financial reporting process while the statutory auditors are responsible for performing independent audits of the Company's Financial Statements in accordance with generally accepted auditing practices and for issuing reports based on such audits. The Board of Directors has entrusted the Audit Committee to supervise these processes and thus ensure accurate and timely disclosures that maintain the transparency, integrity and quality of financial control and reporting. The composition, procedures, powers and role/functions of the Audit Committee constituted by the Company comply with the requirements of Regulation 18 of the SEBI (LODR) Regulations, 2015. and Section 177 of the Companies Act 2013.

1. Role of Audit Committee

- Oversight of financial reporting process.
- Reviewing with the management, the annual financial statements and auditors' report thereon before submission to the Board for approval.
- Evaluation of internal financial controls and risk management System
- Recommendation for appointment, remuneration and terms of appointment of auditors of the Company.
- Approve policies in relation to the implementation of the Insider Trading Code and to supervise implementation of the same.
- Review, with the management, the annual financial statements and auditor's report thereon before submission to the board for approval, with particular reference.
- Review and monitor the auditor's independence and performance, and effectiveness of audit process.

2. Frequency of Meeting held.

Four Audit Committee meeting of the Board were held during the year 2020-2021. The dates on which the meetings were held are 13th June 2020, 06th August 2020, 05th November 2020, 08th February 2021.

3. Composition and Meetings and Attendance of Audit Committee.

| Name of the Director | Category | Status | No of Meetings Held and Attended | |
|-------------------------|----------------------|----------|----------------------------------|----|
| Mr. Madhukar Deshpande | Independent Director | Chairman | 4 | NA |
| Mr. Satish Kaglwal | Executive Director | Member | 4 | 4 |
| Mr. Vadla Nagabhushanam | Independent Director | Member | 4 | 1 |

B. Nomination and Remuneration Committee.

Nomination and Remuneration Committee is constituted in line with the provisions of Regulation 19 of SEBI Listing Regulations and Section 178 of the Companies Act 2013.

1. Role of Nomination and Remuneration Committee.

- Recommend to the board to setup and composition of the Board and its committees.
- Recommend to the Board the appointment/re-appointment of Directors and Key Managerial Personnel.
- Support the Board and Independent Directors in evaluation of the performance of the Board, its Committees and individual Directors.
- Recommend to the Board the Remuneration Policy for Directors, executive team or Key Managerial Personnel as well as the rest of employees.
- Oversee familiarization programs.

2. Frequency of Meeting held

One Nomination and Remuneration Committee of the Board were held during the year 2020-2021. The dates on which the meetings were held on 09th February 2021.

3. Composition and Meetings and Attendance of Nomination and Remuneration Committee.

| Name of the Director | Category | Status | No of Meetings Held and Attended | |
|-------------------------|----------------------|----------|----------------------------------|---|
| Mr. Shrirang Agrawal | Independent Director | Chairman | 1 | 1 |
| Mr. Vadla Nagabhushanam | Independent Director | Member | 1 | 1 |
| Mr. Madhukar Deshpande | Independent Director | Member | 1 | 1 |

C. Stakeholders Relationship Committee

This Committee is constituted in line with the provisions of Regulation 20 of SEBI Listing Regulations and Section 178 of the Companies Act.

- Consider and resolve the grievances of security holders.
- Consider and approve issue of share certificates, transfer and transmission of Securities, Etc.

1. Role of Stakeholders Relationship Committee.

- Transfer, transmission, split and consolidation of investors holding
- Dematerialization/ rematerialisation of shares
- Non-receipt of dividends and other corporate benefits
- Replacement of lost/mutilated/stolen share certificates
- Non-receipt of Annual Reports and change of addresses, etc.

2. Frequency of Meeting held.

Four Stakeholders Relationship Committee meeting were held during the year 2020-2021. The dates on which the meetings were held are 13th June 2020, 06th August 2020, 05th November 2020, 08th February 2021.

3. Composition and Meetings and Attendance of SR Committee Meeting.

| Name of the Director | Category | Status | No of Meetings Held and Attended | |
|-------------------------|----------------------|----------|----------------------------------|---|
| Mr. Shrirang Agrawal | Independent Director | Chairman | 1 | 1 |
| Mr. Vadla Nagabhushanam | Independent Director | Member | 1 | 1 |
| Mr. Madhukar Deshpande | Independent Director | Member | 1 | 1 |

C. Stakeholders Relationship Committee

This Committee is constituted in line with the provisions of Regulation 20 of SEBI Listing Regulations and Section 178 of the Companies Act.

- Consider and resolve the grievances of security holders.
- Consider and approve issue of share certificates, transfer and transmission of Securities, Etc.

1. Role of Stakeholders Relationship Committee.

- Transfer, transmission, split and consolidation of investors holding
- Dematerialization / rematerialization of shares
- Non-receipt of dividends and other corporate benefits
- Replacement of lost/mutilated/stolen share certificates
- Non-receipt of Annual Reports and change of addresses, etc.

2. Frequency of Meeting held.

Four Stakeholders Relationship Committee meeting were held during the year 2020-2021. The dates on which the meetings were held are 13th June 2020, 06th August 2020, 05th November 2020, 08th February 2021.

3. Composition and Meetings and Attendance of SR Committee Meeting.

| Name of the Director | Category | Status | No of Meetings Held and Attended | |
|-------------------------|----------------------|----------|----------------------------------|----|
| Mr. Madhukar Deshpande | Independent Director | Chairman | 4 | NA |
| Mr. Vadla Nagabhushanam | Independent Director | Member | 4 | 1 |
| Mr. Satish Kagiwal | Executive Director | Member | 4 | 4 |

4. Complaints Received

During the financial year 2020-21, No complaint was received and there were no complaints outstanding as on 31st March 2021. There were no share transfer requests pending as on 31st March 2021.

5. Name and Designation of Compliance Officer

Mr. Dhiraj Rathi is Company Secretary and Compliance Officer of the Company.

D. Corporate Social Responsibility Committee.

This Committee is constituted in line with the provisions of Section 135 of the Act.

1. Role of the Committee.

Formulate and recommend to the Board, a CSR Policy indicating the activities to be undertaken by the Company as specified in Schedule VII of the Act.

Recommend the amount of expenditure to be incurred on the activities mentioned in the CSR Policy.

Monitor the CSR Policy.

2. Frequency of Meeting held.

One Corporate Social Responsibility Committee meeting was held during the year 2020-2021. The dates on which the Board meetings were held are 09th February 2021.

3. Composition and Meetings and Attendance of CSR Committee.

| Name of the Director | Category | Status | No of Meetings Held and Attended | |
|-------------------------|----------------------|----------|----------------------------------|----|
| Mr. Vadla Nagabhushanam | Independent Director | Chairman | 1 | NA |
| Mr. Madhukar Deshpande | Independent Director | Member | 1 | NA |
| Mr. Satish Kagiwal | Executive Director | Member | 1 | 1 |

9. FAMILIARISATION PROGRAMMES FOR INDEPENDENT DIRECTOR

Independent Directors inducted on the Board are given a formal introduction about the Company and its operations. This is enabled through a meeting with the Managing Director and Whole Time Directors. The objective is to provide them an insight about the industry in which the Company operates and comprehensive information about Company's business and management.

Various familiarization initiatives are carried out throughout the year on an on-going basis which include comprehensive update at Board and Committee meetings on Company's performance & industry scenario, and amendments in the laws and regulations applicable to the Company through presentations by Company executives.

The aim of familiarization programs is to give independent directors an update on:

- i. nature of the industry in which the Company operates;
 - ii. the business model of the Company;
 - iii. the roles, rights, responsibilities of independent directors; and
 - iv. other relevant/ significant information pertaining to or affecting the Company to enable them take informed decisions.
- Independent directors on the Board of the Company have diverse background with rich experience and expertise in their respective domains. They have an aptitude to keep themselves abreast with changes in the industry and applicable regulations. The Company undertakes following initiatives to apprise them with significant and relevant information which helps in effective discharge of their duties and responsibilities as independent directors of the Company:

10. PERFORMANCE EVALUATION OF DIRECTORS AND FORMULATION OF THE CRITERIA FOR EVALUATION OF INDEPENDENT DIRECTOR AND THE BOARD.

Pursuant to the provisions of the Companies Act, 2013 and Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and the guidance Note on Board Evaluation issued by the SEBI on 5th January 2017, the Board has carried out an annual performance evaluation of its own performance, the directors individually as well as the evaluation of the working of its committees. The performance of the board was evaluated by the board after seeking inputs from all the directors on the basis of criteria such as the board composition and structure, effectiveness of board processes, information and functioning etc., The performance of the committees was evaluated by the board after seeking inputs from the committee members on the basis of criteria such as the composition of committees, effectiveness of committee meetings etc., In a separate meeting of independent directors, performance of non-independent directors, the chairman of the Company and the board as whole was evaluated, taking into account the views of executive directors and non-executive directors. The Board and the nomination and remuneration committee reviewed the performance of individual directors on the basis of criteria such as the contribution of the individual director to the board and committee meetings like preparedness on the issues to be discussed, meaningful and constructive contribution and inputs in meetings etc., In the board meeting that followed the meeting of the independent directors and meeting of nomination and remuneration committee, the performance of the board, its committees, and individual directors was also discussed. The performance evaluation of the Independent Directors was completed. The performance evaluation of the Chairman and the Non-Independent Directors was carried out by the Independent Directors. The Board of Directors expressed their satisfaction with the evaluation process.

11. REMUNERATION OF DIRECTORS.

The details of Remuneration paid to Executive / Independent Directors for the year 2020-21 are as follows:-

| Sr. No. | Name of the Director | Designation | Salary | Perquisites and allowance | Total |
|---------|--------------------------|------------------------|--------|---------------------------|-------|
| 1 | Mr. Satish Kagliwal | Managing Director | 48.00 | Nil | 48.00 |
| 2 | Mrs. Jeevanlata Kagliwal | Executive Director | Nil | Nil | Nil |
| 3 | Mrs. Sweta Kagliwal | Non-Executive Director | Nil | Nil | Nil |

Sitting Fees Paid for Meetings

| Sr. No. | Name of the Director | Designation | Remuneration | Sitting fees |
|---------|---|------------------------|--------------|--------------|
| 1 | Mrs. Jeevanlata Kagliwal | Executive Director | Nil | 20000 |
| 2 | Mrs. Sweta Kagliwal | Non-Executive Director | Nil | 20000 |
| 3 | Mr. Shrirang Agrawal | Independent Director | Nil | 20000 |
| 4 | Mr. Kashinath Iyer (Resignation on 07th Nov 2020) | Independent Director | Nil | 12000 |
| 5 | Late Mr. Omprakash Sharma (Demise 12th Nov 2020) | Independent Director | Nil | 17000 |
| 6 | Ms. Ashu Jain (Appointment 01st October 2020) | Independent Director | Nil | 10000 |
| 7 | Mr. Vadla Nagabhushanam (Appointment on 07th Nov 2020) | Independent Director | Nil | 10000 |
| 8 | Mr. Madhukar Deshpande (Appointed on 10th Feb 2021) | Independent Director | Nil | 0 |

12. MANAGEMENT DISCUSSION AND ANALYSIS REPORT.

A detailed report on the Management Discussion and analysis is given separately with this report.

13. DETAILS OF GENERAL BODY MEETING.

a. Annual General Meeting

The location and time where last three Annual General Meetings of the Company were held as under:-

| Financial Year | Place | Date | Time |
|----------------|--|------------------|----------|
| 2019-2020 | Video Conferencing AGM | 24th July 2020 | 04.00 PM |
| 2018-2019 | Nath House, Nath Road, Aurangabad (MAH) 431005 | 10th August 2019 | 11.00 AM |
| 2017-2018 | Nath House, Nath Road, Aurangabad (MAH) 431005 | 04th August 2018 | 11.00 AM |

b. Extraordinary General Meeting

No Extraordinary General Meeting of the Members was held during the year 2020-21.

c. Special Resolutions passed by Company During last three AGM.

1. Re-appointment of Shrirang Agrawal As Independent Director 2018-19
2. Re-appointment of Omprakash Sharma As Independent Director 2018-19
3. Re-appointment of Kashinath Iyer As Independent Director 2018-19
4. Reappointment of Managing Director 2017-18.

d. Details of special resolution passed through Postal Ballot

No Special Resolution passed through the Postal Ballot.



14. OTHER DISCLOSURE

a. Related party transactions-

No transaction of material nature has been entered into by the Company with its Directors/management and their relatives, etc. that may have a potential conflict with the interests of the Company. The Register of Contracts containing transactions, in which Directors are interested, is placed before the Audit Committee and Board regularly. Transactions with related parties are disclosed in the Notes to Accounts in the Annual Report. In terms of SEBI (LODR) Regulations, 2015, the Audit Committee and Board of Directors of the Company have adopted a policy to determine Related Party Transactions.

b. Details of Non-Compliance and Penalties

There were no cases of non-compliance during the last three financial years.

c. Whistle Blower Policy and Vigil Mechanism.

The Board of Directors of the Company had adopted the Whistle Blower policy. The Company has established a mechanism for employees to report to the management, concerns about unethical behavior, actual or suspected fraud or violation of the Company's code of conduct or ethics. The employees have been appropriately communicated within the organization about the mechanism and have been provided direct access to the Chairman of the Audit Committee. The mechanism also lays emphasis on making enquiry into whistle blower complaint received by the Company. The Audit Committee reviews periodically the functioning of whistle blower mechanism. No employee has been denied access to the Audit Committee.

d. Details of compliance with mandatory requirements and adoption of the non-mandatory requirements

The Company has implemented all mandatory requirements of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015.

e. Subsidiary Companies

Company does not have any Subsidiary Company

f. Policy on Determination of Materiality for Disclosures.

The Company has adopted a Policy on Determination of Materiality for Disclosures.

g. Reconciliation of Share Capital Audit Report

Regulation 76 of the Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018 and SEBI Circular No D&CC / FITTC/ Cir- 16/2002 dated December 31, 2002 A qualified practising Company Secretary carried out a share capital audit to reconcile the total admitted equity share capital with the National Securities Depository Limited ("NSDL") and the Central Depository Services (India) Limited ("CDSL") and the total issued and listed equity share capital. The audit report confirms that the total issued / paid-up capital is in agreement with the total number of shares in physical form and the total number of dematerialized shares held with NSDL and CDSL.

VALUE RESEARCH PREMIUM

| Regulation | Particulars | Compliance Status (Yes/No) |
|------------|--|----------------------------|
| 17 | Board of Directors | Yes |
| 18 | Audit Committee | Yes |
| 19 | Nomination and Remuneration Committee | Yes |
| 20 | Stakeholders Relationship Committee | Yes |
| 21 | Vigil Mechanism | Yes |
| 23 | Related Party Transaction | Yes |
| 24 | Corporate Governance requirement with respect to subsidiary of Listed entity | Yes |
| 25 | Obligation with respect to Independent Director | Yes |
| 26 | Obligation with respect to directors and Senior management | Yes |
| 27 | Other Corporate Governance requirement | Yes |
| 46 | Functional Website | Yes |

g. Auditors Fees

Total Fees for all services paid by the Company to the Statutory Auditors and all entities in this network firm/network entity of which the statutory Auditor is part is given Below.

| Sr. No. | Payment to Statutory Auditors | FY 2020-21 |
|---------|-------------------------------|---------------|
| 1. | Audit Fees | 650000 |
| 2. | Others | 110000 |
| 3. | Total | 760000 |

15. MEANS OF COMMUNICATION

The quarterly and annual financial results of the Company are uploaded on NSE Electronic Application Processing System (NEAPS) and BSE Listing Centre in accordance with the requirements of Listing Regulations. The financial results are displayed on BSE and NSE websites. The quarterly, half-yearly and annual financial results of the Company are also published in leading newspapers in India which include Business Standard, and Pudhari. The results are also displayed on the Company's website www.nathbiogenes.com. Financial Results, Statutory Notices, Press Releases and Presentations made to the institutional investors/ analysts after the declaration of the quarterly, half-yearly and annual results are submitted to the National Stock Exchange of India Limited (NSE) and BSE Limited (BSE) as well as uploaded on the Company's website. In terms of the Listing Regulations, the Company has a designated email ID for dealing with Investors' complaints viz., investor@nathbiogenes.com.

16. GENERAL SHAREHOLDERS INFORMATION

a. Annual General Meeting

| | |
|------------------------|---|
| Date & Time | Friday 27th August 2021. & 11.00 AM |
| Venue | The Company is conducting meeting through VC / OAVM pursuant to the MCA Circular dated May 5, 2021 and as such there is no requirement to have a venue for the AGM. For details please refer to the Notice of this AGM. |

a. Book Closure

The register of Shareholders of the Company will remain closed from 22nd August 2021 to 27th August 2021 on as mentioned in notice of the AGM.

b. Financial Year

1st April 2020 to 31st March 2021

d. Listing on Stock Exchanges and stock codes / symbol.

| Stock Exchange | Trade Symbol | Address |
|---|--------------|--|
| BSE Limited | 537291 | 25 th floor, P.J Towers, Dalal Street, Mumbai 400001 |
| National Stock Exchange of (India) Limited | NATHBIOGEN | Exchange plaza, C-1 Blok-G, Bandra Kurla Complex Bandra (East) Mumbai-400001 |

e. CIN-L01110MH1993PLC072842

f. Market Price Data

High, Low (based on daily closing prices) and number of equity shares traded during each month in the year 2020-21 on BSE Limited and National Stock Exchange of India Limited.

| MONTH | BSE | | NSE | |
|--------|--------|--------|--------|--------|
| | High | Low | High | Low |
| Apr-20 | 260.45 | 137.35 | 259.45 | 135.10 |
| May-20 | 317.40 | 251.00 | 317.15 | 247.10 |
| Jun-20 | 357.95 | 309.75 | 355.00 | 309.00 |
| Jul-20 | 381.85 | 311.35 | 381.80 | 314.15 |
| Aug-20 | 415.50 | 267.15 | 416.00 | 263.70 |
| Sep-20 | 314.50 | 250.00 | 314.40 | 249.85 |
| Oct-20 | 337.00 | 285.95 | 337.00 | 284.25 |
| Nov-20 | 295.95 | 255.20 | 291.30 | 253.90 |
| Dec-20 | 304.90 | 262.20 | 304.90 | 261.30 |
| Jan-21 | 304.40 | 246.65 | 303.40 | 240.35 |
| Feb-21 | 290.95 | 263.85 | 291.40 | 256.50 |
| Mar-21 | 340.00 | 269.45 | 340.00 | 265.70 |

g. Performance of the Share Price of the Company in Comparison to the BSE Sensex.



h. Registry to an issue and Share Transfer Agents

Name : Bigshare Services Private Limited
 Address : 1st Floor, Bharat Tin Woks Building, Opp. Vasant Oasis, Makwana Road, Marol, Andheri East Mumbai-400059
 Telephone : 022-62638200
 Email : info@bigshareonline.com
 Website : www.bigshareonline.com

i. Share Transfer System

All share transfer and other communications regarding share certificates, change of address, dividends, etc. should be addressed to Registrar and Transfer Agents. Stakeholders Relationship Committee is authorized to approve transfer of shares in the physical segment. The Committee has delegated authority for approving transfer and transmission of shares and other related matters to the officers of the Company. Such transfers take place on weekly basis. A summary of all the transfers/ transmissions etc. so approved by officers of the Company is placed at every Committee Meeting. All share transfers are completed within statutory time limit from the date of receipt, provided the documents meet the stipulated requirement of statutory provisions in all respects. The Company obtains from a Company Secretary in practice half yearly certificate of compliance with the share transfer formalities as required under Regulation 40(9) of the Listing Regulations, and files a copy of the same with the Stock Exchanges.

j. Shareholding as on March 31, 2021.

Distribution of Share Holding as on 31st March 2021.

| Shareholding of Nominal Value (Rs.) | | No. of Holders | % of Total Holders | Total Shares | % of Total |
|-------------------------------------|---------|----------------|--------------------|------------------|------------|
| 1 | 5000 | 24840 | 95.72 | 15757990 | 8.29 |
| 5001 | 10000 | 512 | 1.97 | 3915030 | 2.06 |
| 10001 | 20000 | 275 | 1.05 | 4057170 | 2.13 |
| 20001 | 30000 | 103 | 0.39 | 2581970 | 1.35 |
| 30001 | 40000 | 38 | 0.14 | 1315280 | 0.69 |
| 40001 | 50000 | 24 | 0.09 | 1120120 | 0.58 |
| 50001 | 100000 | 68 | 0.26 | 5196050 | 2.73 |
| 100001 | 9999999 | 88 | 0.33 | 156096390 | 82.13 |
| Total | | 25948 | 100.00 | 190040000 | 100 |

VALUE RESEARCH PREMIUM

1. Categories of Shareholding as on 31st March 2021.

| Category | No. of Shares | % of Capital |
|------------------------------|-----------------|---------------|
| Indian Promoters | 7764749 | 40.85 |
| Persons acting in Concert | 0 | 0 |
| Sub Total | 7764749 | 40.85 |
| Non-Promoter Holding | | |
| Mutual Funds | 1624817 | 8.54 |
| Alternate Investment fund | 439560 | 2.31 |
| Banks/Financial Institutions | 22 | 0.00 |
| FII/FBI | 34192 | 0.17 |
| Sub Total | 2098591 | 11.02 |
| Others | 377958 | 1.98 |
| Private Corporate Bodies | 4222715 | 22.22 |
| Indian Public | 4027186 | 21.19 |
| NRI/OCB | 512801 | 2.69 |
| Sub Total | 9140660 | 48.13 |
| Total | 19004000 | 100.00 |

1. Top 10 Equity Shareholders as on 31st March 2021.

| Sr. No. | Name | No. of Shares | % of Total Shares of the Company |
|---------|--|---------------|----------------------------------|
| 1 | Authum Investment and Infrastructure Limited | 2398279 | 12.61 |
| 2 | Aditya Birla Sun Life Trustee Private Limited (A/c Aditya Birla Sun Life Pure Value Fund) | 880004 | 4.63 |
| 3 | Aditya Birla Sun Life Trustee Private Limited (A/c Aditya Birla Sun Life Resurgent India Fund-Series-6) | 740613 | 3.89 |
| 4 | Authum Investment and Infrastructure Limited | 603668 | 3.17 |
| 5 | Indgrowth Capital Fund –I | 439560 | 2.31 |
| 6 | Satpal Khattar | 400000 | 2.10 |
| 7 | Bakulesh Trambaklal Shah | 270500 | 1.42 |
| 8 | C Mackertich Private Limited | 190000 | 0.99 |
| 9 | Colin P Shah | 152345 | 0.80 |
| 10 | Girish Kulkarni | 150000 | 0.78 |

3. Dematerialization of shares and liquidity

The Company's shares are compulsorily traded in dematerialized form on NSE and BSE. Equity shares of the Company representing 98.44 percent of the Company's equity share capital are dematerialized as on March 31, 2021. Under the Depository System, the International Securities Identification Number (ISIN) allotted to the Company's shares is INE448G01010.

4. Outstanding GDRs/ADRs/Warrants or any convertible instruments, conversion date and likely impact on equity:

The Company has not issued any GDRs/ADRs/Warrants or any convertible instruments in the past and hence, as on March 31, 2021, the Company does not have any outstanding GDRs/ADRs/Warrants or any convertible instruments.

5. Commodity price risk or foreign exchange risk and hedging activities:

The Company does not deal in commodities and hence the disclosure pursuant to SEBI Circular date November 15, 2018 is not required to be given.

6. Address for Correspondence.

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Website-www.nathbiogenes.com
Contact No-0240-6645555